

April 26, 2004

Attachment 1

Santa Cruz Metropolitan  
Transit District



Santa Cruz County Regional  
Transportation Commission  
1523 Pacific Avenue  
Santa Cruz, CA 95060

**Attn: Linda Wilshusen**

Dear Commissioners:

Enclosed are the FY 2005 Transportation Development Act (TDA) and State Transit Assistance (STA) claims of the Santa Cruz Metropolitan Transit District. These funds will be used for transit operations (TDA) and capital (STA) assistance as budgeted in the District's FY 2005 Budget.

The District has requested a total of \$6,274,245.00 in the funds allocated by the Commission on a quarterly basis. Allocations from these funding categories are listed below:

- |  |                |
|--|----------------|
| 1. Transportation Development Act – Transit Operations<br>(Article 4, Section A) | \$5,413,251.00 |
| 2. State Transit Assistance Fund – Transit Capital                               | \$860,994.00   |
|  | \$6,274,245.00 |

The District recognizes that TDA and STA funding levels may change upon adoption of the FY 2005 California State budget. If this happens, an amended claim will be submitted.

The SCMTD is applying its FY 2005 STA allocation towards the costs of capital projects included in the District's Transportation Improvement Program. Per PUC Code Section 99314.6(e), the restrictions on STA funds pertaining to the Operators Qualifying Criteria do not apply to allocations made for capital purposes.

Thank you for your consideration. Please contact Mark Dorfman if you need additional information.

Sincerely,

A handwritten signature in black ink, appearing to read "Leslie R. White".

LESLIE R. WHITE  
General Manager

Enclosure

cc: Nic Papadakis, AMBAG

29-3

370 Encinal Street, Suite 100, Santa Cruz, CA 95060 (831) 426-6080 FAX (831) 426-6117

METRO OnLine at <http://www.scmtd.com>

**PUBLIC TRANSPORTATION CLAIM  
FISCAL YEAR 2005**

**TO:** SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION  
1523 Pacific Avenue  
Santa Cruz, CA 95060

**FROM:** SANTA CRUZ METROPOLITAN TRANSIT DISTRICT  
370 Encinal Street, Suite 100  
Santa Cruz, CA 95060

This applicant, the Santa Cruz Metropolitan Transit District, qualified pursuant to Section 99203 of the Public Utilities Code, hereby requests in accordance with Article 4, Section 6630 of the California Code of Regulations that its claim for Local Transit Funds be approved in the amount of:

**TDA Funding:**

Five million, four hundred thirteen thousand, two hundred fifty-one dollars (\$5,413,251.00).

**STA Funding:**

Eight hundred sixty thousand, nine hundred ninety-four dollars (\$860,994).

For fiscal year 2005 to be drawn from the local transportation trust fund of the following respective county in the following respective amount:

<u>COUNTY</u>	<u>PURPOSE</u>	<u>AMOUNT</u>
Santa Cruz	Transportation Development Act	\$5,413,251.00
Santa Cruz	State Transit Assistance Funds	<u>\$860,994.00</u>
		\$6,274,245.00

When approved, please transmit this claim for payment. Approval of the claim and payment by the County Auditor to this operator is subject to such monies being on hand and available for distribution, and to the provisions that such monies shall be used only in accordance with the terms of the approved annual financial plan.

**SANTA CRUZ METROPOLITAN TRANSIT DISTRICT**

BY:   
LESLIE R. WHITE  
General Manager

DATE: April 26, 2004

29-4

# SANTA CRUZ METROPOLITAN TRANSIT DISTRICT

**DATE:** April 26, 2004  
**TO:** Executive Director, SCCRTC  
**FROM:** General Manager, SCMTD  
**SUBJECT:** **FY 2005 PUBLIC TRANSPORTATION CLAIM DISBURSEMENT REQUIREMENT**

Disbursement of the Santa Cruz Metropolitan Transit District's FY 2005 claims for TDA funds \$5,413,251.00 and STA funds ( \$860,994.00) is requested as follows:

1. TDA FUNDING FOR FY 2005

<u>Disbursement Schedule</u>	<u>Operating Funds</u>	<u>Total Disbursement</u>
First Quarter	\$1,438,312.75	\$1,438,312.75
Second Quarter	\$1,324,979.75	\$1,324,979.75
Third Quarter	\$1,324,979.75	\$1,324,979.75
Fourth Quarter	\$1,324,978.75	\$1,324,978.75
	\$5,413,251.00	\$5,413,251.00

2. STA FUNDING FOR FY 2005

<u>Disbursement Schedule</u>	<u>Capital Funds</u>	<u>Total Disbursement</u>
First Quarter	\$215,248.50	\$215,248.50
Second Quarter	\$215,248.50	\$215,248.50
Third Quarter	\$215,248.50	\$215,248.50
Fourth Quarter		
	<u>\$215,248.50</u>	<u>\$215,248.50</u>
	<b>\$860,994.00</b>	<b>\$860,994.00</b>

This schedule will prevent the District from going into a deficit cash position. The STA funds will be used to fund transit projects included in the District's Capital Improvement Program.

BEFORE THE BOARD OF DIRECTORS OF THE  
SANTA CRUZ METROPOLITAN TRANSIT DISTRICT

Resolution No. 04-4-2

On the Motion of Director: Rotkin

Duly Seconded by Director: Reilly

The Following Resolution is:

**RESOLUTION OF THE BOARD OF DIRECTORS OF THE  
SANTA CRUZ METROPOLITAN TRANSIT DISTRICT  
AUTHORIZING A CLAIM TO THE  
SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION  
FOR TRANSPORTATION DEVELOPMENT ACT FUNDS**

**WHEREAS**, in accordance with Article 1, Section 99210 of the Public Utilities Code the Santa Cruz Metropolitan Transit District is a transit operator; and

**WHEREAS**, in accordance with Article 1, Section 99214 of the Public Utilities Code the Santa Cruz County Regional Transportation Commission is the Transportation Planning Agency for Santa Cruz County; and

**WHEREAS**, in accordance with Article 4, Section 99260(a) of the Public Utilities Code, claims may be filed with the transportation planning agency by transit operators for the support of public transportation systems; and

**WHEREAS**, in accordance with Section 6655 of the California Code of Regulations, the Transportation Planning Agency issues instruction to the County Auditor for payment to claimants,

**NOW, THEREFORE, BE IT RESOLVED**, that the General Manager of the Santa Cruz Metropolitan Transit District is authorized to submit a claim of up to \$5,413,251.00 to the Santa Cruz County Regional Transportation Commission for Transit Operations for fiscal year 2005.



**PASSED AND ADOPTED** this 23<sup>rd</sup> day of April 2004, by the following vote:


**AYES:** Directors - Beautz, Hinkle, Keogh, Reilly, Rotkin, Skillicorn, Spence, Stone,  
Tavantzis

**NOES:** Directors - None

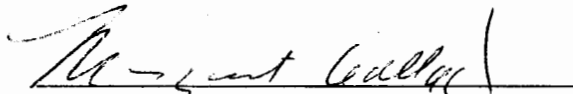
**ABSTAIN:** Directors - None

**ABSENT:** Directors - Ainsworth, Norton

**APPROVED**   
\_\_\_\_\_  
EMILY REILLY  
Chairperson

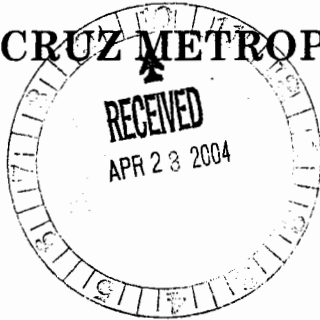
**ATTEST**   
\_\_\_\_\_  
LESLIE R. WHITE  
General Manager

**APPROVED AS TO FORM:**

  
\_\_\_\_\_  
MARGARET GALLAGHER  
District Counsel

39-7

# SANTA CRUZ METROPOLITAN TRANSIT DISTRICT



Resolution No. 04-4-1  
On the Motion of Director: Rotkin  
Duly Seconded by Director: Reilly  
The Following Resolution is:

## RESOLUTION OF THE BOARD OF DIRECTORS OF THE SANTA CRUZ METROPOLITAN TRANSIT DISTRICT AUTHORIZING A CLAIM TO THE SANTA CRUZ COUNTY REGIONAL TRANSPORTATION COMMISSION FOR STATE TRANSIT ASSISTANCE FUNDS

**WHEREAS**, the State Controller is authorized under Section 99313 of the Public Utilities Code to allocate State Transit Assistance (STA) funds to regional transportation planning agencies and county transportation commissions; and

**WHEREAS**, in accordance with the Sections 99313 and 99314 et al of the Public Utilities Code, the Santa Cruz Metropolitan Transit District is authorized to submit a claim for STA operating funds to the Santa Cruz County Regional Transportation Commission; and

**WHEREAS**, the Santa Cruz Metropolitan Transit District's proposed expenditures are in conformity with the Regional Transportation Plan; and

**WHEREAS**, the level of passenger fares and charges is sufficient to enable the Santa Cruz Metropolitan Transit District to meet the fare revenue requirements of Public Utilities Code Section 99268.2(b); and

**WHEREAS**, the Santa Cruz Metropolitan Transit District is not precluded by any contract entered into on or after June 28, 1979, from employing part-time drivers or from contracting with common carriers of persons operating under a franchise or license; and

**WHEREAS**, the sum of the Santa Cruz Metropolitan Transit District's allocations from the State Transit Assistance fund and from the Local Transportation Fund does not exceed the amount the Santa Cruz Metropolitan Transit District is eligible to receive during fiscal year 2004. Such funding, however, shall not relieve the Santa Cruz Metropolitan Transit District of its responsibility pursuant to Section 6735 of the California Code of Regulations, Title 21, Chapter 3; and

**WHEREAS**, the Santa Cruz Metropolitan Transit District has made a reasonable effort to implement the productivity improvements recommended pursuant to Public Utilities Code Section 99244; and

**WHEREAS**, the Santa Cruz Metropolitan Transit District is making full use of federal funds available under the Intermodal Transportation Efficiency Act of the 21<sup>st</sup> Century, as amended:

29-8

**NOW, THEREFORE, BE IT RESOLVED**, that the General Manager of the Santa Cruz Metropolitan Transit District is authorized to submit a claim of up to \$860,994.00 in State Transit Assistance funds for FY 2005.

**PASSED AND ADOPTED** this 23<sup>rd</sup> day of April, 2004 by the following vote:

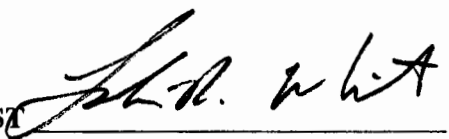
**AYES:** Directors - Beautz, Hinkle, Keogh, Reilly, Rotkin, Skillicorn, Spence, Stone, Tavantzis

**NOES:** Directors None

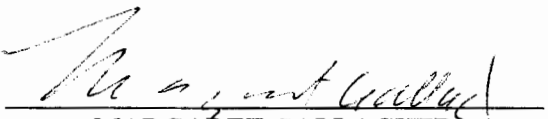
**ABSTAIN:** Directors - None

**ABSENT:** Directors - Ainsworth, Norton

**APPROVED**   
EMILY REILLY  
Chairperson

**ATTEST**   
LESLIE R. WHITE  
General Manager

**APPROVED AS TO FORM:**

  
MARGARET GALLAGHER  
District Counsel

29-9