



Santa Cruz County Regional Transportation Commission
1101 Pacific Avenue, Suite 250 | Santa Cruz, CA 95060

DATE: August 1, 2025

TO: Interested Consultants

FROM: Bouapha Toommaly, Finance and Budget Special Advisor

SUBJECT: RFP 2214 Financial Audit Services– Addendum 1
Questions and Answers

This addendum is to modify the previously issued Request for Proposals and is hereby made a part of the document. Per the RFP document, proposers are responsible for checking the website for any addenda to the bid documents.

1. The responses to questions are listed in the table below.

Question	Response
1. What services are you seeking? <input checked="" type="checkbox"/> Financial Audit; <input type="checkbox"/> Bond Audit; <input checked="" type="checkbox"/> Single Audit	1. Financial Audit and single audit if required, please refer to the RFP.

2. Why are you considering changing your auditor?	2. The contract term expired.
3. Who is your current auditor? How many years have they provided your audit services?	3. Moss, Levy & Hartzheim, LLP, has provided services under two different contracts for a total of 13 years.
4. Will your current auditor be invited to bid?	4. Yes, it is an open procurement.
5. What were the prior year professional fees (or the budget) for Audit services?	5. The contracted value was \$136,405 for 6 years with extensions.
6. Is the scope of the services requested the same as last year?	6. Yes.
7. Has your organization (or its employees) been under any governmental investigation or regulatory examination in the past?	7. No.
8. Are you aware of any fraud in your organization? If so, please describe:	8. No.
9. Do you suspect any fraud in your organization? If so, please describe:	9. No.
10. Have there been any allegations of fraud against your organization or anyone in your	

organization in the past two years? If so, please describe:	10. No.
11. Did you have any disagreements with your prior auditor?	11. No.
12. How many journal entries and/or material adjustments did the auditor make last year? Please describe.	12. n/a
13. Were there any significant findings reported by the prior year auditor?	13. No
14. Has the District undergone any audits or inspections by regulatory agencies?	14. Yes
15. Is the District low risk or high risk?	15. Low risk.
16. Have there been any changes to the governance structure of the District during the audit period?	16. No. Additionally, RTC is a regional transportation agency, not a District.
17. Have there been any significant changes in your management/staffing during the audit period?	17. Finance and budget officer retired, and the position is in recruitment phase. New executive director joined in September 2024.

18. Has the organization entered into any contracts, issued a bond, begun a major project, or become party to litigation that are not reflected in the prior audit? Please describe.	18. No.
19. What are your major concerns?	19. Having sufficient funding for all of the work and projects that the community would like
20. What are your board's major concerns?	20. Having sufficient funding for all of the work and projects that the community would like
21. What accounting system do you use? Has the Accounting system changed in the past year?	21. Financial Enterprise. No.
22. Are you open to conducting a remote audit?	22. No.
23. When will a closed trial balance be available for the audit with all closing entries recorded?	23. October 2025
24. When are you ready for us to audit?	24. Please review the RFP.
25. Do you have a specific due date for the audit issuance?	25. Please review the RFP
26. What meetings do you require the auditor to	26. To be determined.

attend? When and where are they held?	
27. Are there any other special reports / filings / tax returns that you would require?	27. n/a